

Serial No. 09/955,259

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0300  
PATENT  
52071.00004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Nestor ANNIBALI

Serial No.: 09/955,259

Filed: September 12, 2001

For: **EXPRESSION OF A HUMAN  
INSULIN PRECURSOR IN P. PASTORIS**

) Group Art Unit: Not Yet Determined

) Examiner: Not Yet Determined

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TRANSMITTAL LETTER

BOX SEQ.  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures dated November 9, 2001, enclosed herewith for filing are the following documents:

1. Preliminary Amendment;
2. Copy of Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures;
3. Sequence Listing;

CERTIFICATE OF MAILING  
(37 C.F.R. § 1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

January 9, 2002  
Date of Deposit

Vivian M. Gutierrez  
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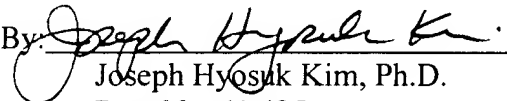
4. 3 ½ Disk; and
5. Postcard.

The Commissioner is authorized to charge Squire, Sanders & Dempsey's Deposit Account No. **07-1853** for any fees required under 37 CFR §§ 1.16 and 1.17 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayment to said Deposit Account No. **07-1853**.

Respectfully submitted,

SQUIRE, SANDERS & DEMPSEY L.L.P.

Dated: January 9, 2002

By:   
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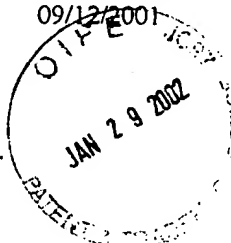


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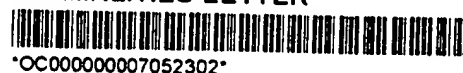
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/955,259	09/12/2001	Nestor Annibali	52071.4

Squire, Sanders & Dempsey L.L.P.  
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CONFIRMATION NO. 1187

## FORMALITIES LETTER



\*OC00000007052302\*

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### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES


Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

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*A copy of this notice **MUST** be returned with the reply.*



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